

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Date Received
Official Use Only

A Public Document

Please type or print in ink.

NAME (LAST)	(FIRST)	(MIDDLE)	DAYTIME TELEPHONE NUMBER
McGuire	Terrence	Joseph	[REDACTED]
MAILING ADDRESS (May use business address)	STREET	CITY	STATE ZIP CODE
[REDACTED]			OPTIONAL: FAX / E-MAIL ADDRESS

1. Office, Agency, or Court

Name of Office, Agency, or Court:

State Controller's Office

Division, Board, District, if applicable: Exec. Office

Deputy Controller, Investments

Your Position:

Representative to CalPERS & CalSTRS

► If filing for multiple positions, list additional agency(ies)/ position(s): (Attach a separate sheet if necessary.)

Agency: CalPERS & CalSTRS

Position: Designated Representative

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ County of _____

☐ City of _____

☐ Multi-County _____

☐ Other _____

3. Type of Statement (Check at least one box)

☐ Assuming Office/Initial Date: ____/____/____

☒ Annual: The period covered is January 1, 2008, through December 31, 2008.

-or-

☒ The period covered is 2/29/08, through December 31, 2008. 3/20/09

☐ Leaving Office Date Left: ____/____/____ (Check one)

☐ The period covered is January 1, 2008, through the date of leaving office.

-or-

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate Election Year: _____

4. Schedule Summary

► Total number of pages including this cover page: 26

► Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 ☒ Yes - schedule attached
Investments (Less than 10% Ownership)

Schedule A-2 ☐ Yes - schedule attached
Investments (10% or greater Ownership)

Schedule B ☒ Yes - schedule attached
Real Property

Schedule C ☒ Yes - schedule attached
Income, Loans, & Business Positions (Income Other than Gifts and Travel Payments)

Schedule D ☒ Yes - schedule attached
Income - Gifts

Schedule E ☐ Yes - schedule attached
Income - Gifts - Travel Payments

-or-

☐ No reportable interests on any schedule

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/23/2009
(month, day, year)

Signature [REDACTED]
(File the originally signed statement with your filing official.)

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
 (Ownership Interest is Less Than 10%)
 Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name _____

▶ NAME OF BUSINESS ENTITY
AMDL, Inc.
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Security Technology
 FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
2/1/09 _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Alliance Fiber Optic
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology - Communications
 FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
12/25/08 _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Federal Agricultural Mortgage Corp
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Agriculture Bank
 FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
2/27/09 _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Agrium Inc.
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fertilizer
 FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
12/1/08 _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Alliance Healthcare
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Health Tech.
 FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
12/1/08 _____
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Artificial Life Inc
 GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology
 FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
 NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
 IF APPLICABLE, LIST DATE:
12/1/08 _____
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

► NAME OF BUSINESS ENTITY
Conrad Industries Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Boat Building / Repair

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
China Techfaith ADR

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Comm. Technology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/09 1/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Cobra Electronics Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 1/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
China Pharma Hldgs

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Drugs

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
China Ruiji Cement

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 1/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
China Sun Group High Tech

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Battery Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 1/1/08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
Crimson Exploration

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Edac Technology Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Machine Tools

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/08 01 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Deep Down Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil Service

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China Education Alliance

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Education

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 12/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Dynaeg Healthcare

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Healthcare Svcs.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 12/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
CSG Systems Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Software

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 12/1/08
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
ALJ Regional Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Metal Manufacturing

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/08 1/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Yamana Gold Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Alloy Steel Int'l

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Steel & Iron Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
A-Power Energy Gen. Syst.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Power Generation / Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 1/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
ATP Oil & Gas

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Production

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/15/07 1/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Basic Earth Sciences

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/08 1/1/08
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
Bank of America

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Banking

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/13/09 1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China Agritech Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fertilizer

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China Housing & Land Dev.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Real Estate Dev.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China Kangtai Cactus Biotech

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China Medicine Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Drugs

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
China North East Petroleum

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/1/07 1/08
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

NAME OF BUSINESS ENTITY
Dragon Pharmaceuticals
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

11/1/07 / / 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Derma Sciences Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

11/1/07 / / 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Electronic Game Card Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Gaming Systems

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

1/17/08 / / 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Flotek Industries
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Oil & Gas Svc.

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

3/5/09 / / 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
GVI Secs. Solutions
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Security Technology

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

12/15/07 / / 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Hamptons Luxury Homes
GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Home Building

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:

11/1/07 / / 08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
Hanwai Energy Svcs. Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Energy Svcs.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/08 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Franklin Wireless Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/15/08 ACQUIRED 07 DISPOSED

NAME OF BUSINESS ENTITY
Fushi Copperworld Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Goods Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 ACQUIRED 08 DISPOSED

NAME OF BUSINESS ENTITY
Goldcorp Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 ACQUIRED 08 DISPOSED

NAME OF BUSINESS ENTITY
HL Systems Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Infrastructure Control Systems

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/08 ACQUIRED 08 DISPOSED

NAME OF BUSINESS ENTITY
Harbin Electric

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electric Motor Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 ACQUIRED 08 DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name _____

NAME OF BUSINESS ENTITY
ICO Global Communications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Satellite Comm.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/28/09 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
IEC Electronics Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/1/08 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Kreiser Mfg.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Machine Tool Mfg

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
LKA International

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Lotus Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Drugs

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/15/07 / / 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Mass Financial Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 / / 08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

▶ NAME OF BUSINESS ENTITY
Mikros Systems Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 / / 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Meadow Valley Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Construction

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 1/31/09
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Narios Maritime

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Shipping

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/30/08 / / 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Overhill Farms Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Food Processing

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/15/08 / / 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Pan Orient Energy Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/15/07 / / 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Ram Energy Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/1/08 / / 08
ACQUIRED DISPOSED

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
RBC Life Sciences

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Products

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/15/07 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Repro Medical System

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medicine

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Semafo Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Songzai Intl. Holding Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Coal Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Smith Midland Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Construction

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Sinoenergy Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gas Distribution

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/07 08
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

NAME OF BUSINESS ENTITY
Sanco Resource Recovery

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Recycling

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
ProShares UltraShort Real Estate

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Real Estate Short ETF

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/09 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Sutor Technology Group Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Steel & Iron

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/15/08 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Silverleaf Resorts Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Leisure/Time Share

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Sitestar Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Tech.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
ProShort Lehman Long Treasury

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Ultrashort Treasury ETF

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/09 08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name _____

NAME OF BUSINESS ENTITY
Transglobal Energy

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/8/08 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
TXCO Resources Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/15/07 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
US 1 Industries

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Transportation Svcs.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Universal Ins. Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Home/Disaster Ins.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/1/07 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Vivid Learning Syst.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Education Tech.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/1/09 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Wells Fargo & Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Banking

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/13/09 08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

▶ NAME OF BUSINESS ENTITY
Apollo Gold Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/1/08 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Synergetics USA Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/09 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Carrolls Restaurant Group
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Restaurants

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/1/09 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Technical Communications Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communications Equipment

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/1/07 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Yamana Gold
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/1/06 08
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Direct Insite Corp
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 08 11/1/08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____

NAME OF BUSINESS ENTITY
Cimatron Ltd.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Dale Jarrett Racing

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Environmental Solutions Worldwide

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Env. Work

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Globalecope Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Footstar Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Footwear Mfg.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Genex Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Drugs

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
_____/_____/08 11/1/08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name _____	

NAME OF BUSINESS ENTITY
GDX - Gold Mining ETF

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
General Steel Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Steel Mfg.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Hudbay Minerals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 4/1 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Hirsch Ind. Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Wholesale Ind. Equipment

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Gold Fields Intl. ADR

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 12/1 08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Iceweb Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software Svcs.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1 08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

► NAME OF BUSINESS ENTITY
Industrial Rubber Products

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pipe Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 8/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Kapston Paper & Packaging Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Paper & Packing Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Lundin Mining Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Modavox Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Radio Broadcasting

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Max Catz Interactive

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Entertainment/Technology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
MFIC Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
Intuitive Surgical

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
NYSE Euronext Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Financial Svcs.-Exchange

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
NYMEX Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fin. Svcs.-Exchange

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Northgate Minerals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Ophthalmic Imaging

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Eye Care

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
PDG Environmental

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Env. Remediation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

NAME OF BUSINESS ENTITY
Pacific Safety Products

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Body Armor Mfg.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

NAME OF BUSINESS ENTITY
Proshare Ultrasort S&P500

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

NAME OF BUSINESS ENTITY
Peerless Systems

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Computer Svcs.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

NAME OF BUSINESS ENTITY
Signature Eyewear

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Eyewear Dist.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

NAME OF BUSINESS ENTITY
Strategic Energy Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

NAME OF BUSINESS ENTITY
Renhuang Pharmaceutical

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Drugs

FAIR MARKET VALUE
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08
 ACQUIRED
 11/1/08
 DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name _____

► NAME OF BUSINESS ENTITY
Rochester Medical Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Equip

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 2/1/08 08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Sutron Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Scientific Instruments

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08 08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Response Biomedical

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Research

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08 08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Sunwin Food / Nutra

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Sugar Substitute Mfg / Dist.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 6/1/08 08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Secured Digital Applications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communications Svcs.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08 08
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Shengda Tech Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Specialty Chemicals

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 _____ 08 11/1/08 08
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

► NAME OF BUSINESS ENTITY
Tabla Trac Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Resort & Casino Svcs.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 11/1/08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Synergy Brands Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Wholesale Food Svcs.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 11/1/08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Tara Gold Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Gold Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 11/1/08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Trimeris Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 11/1/08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Turbosonic Tech Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 11/1/08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Zynex Medical Holdings

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pain Relief Equip.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
____/____/08 8/1/08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700	
FAIR POLITICAL PRACTICES COMMISSION	
Name	

► NAME OF BUSINESS ENTITY
FNX Mining

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 6 / 1 / 08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Telestone Technologies

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diverse Commun. Svcs.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 11 / 1 / 08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Vertical Branding Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Specialty Retail Svcs.

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 11 / 1 / 08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Tasako Mines Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 6 / 1 / 08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 / / 08
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 08 / / 08
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name

1. BUSINESS ENTITY OR TRUST

Name McGuire Financial Services Group

Address [REDACTED]

Check one
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Financial Planning

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000 / / 08
☐ \$100,001 - \$1,000,000 ACQUIRED DISPOSED
☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION Spouse of Business Owner

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☒ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

Kay Curriculum Press

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
☐ INVESTMENT ☐ REAL PROPERTY

None

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Financial Planning

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000 / / 08
☐ \$100,001 - \$1,000,000 ACQUIRED DISPOSED
☐ Over \$1,000,000

NATURE OF INTEREST
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership
☐ Leasehold ☐ Other
Yrs. remaining

☐ Check box if additional schedules reporting investments or real property are attached

Comments: _____

1. BUSINESS ENTITY OR TRUST

Name _____

Address _____

Check one
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000 / / 08
☐ \$100,001 - \$1,000,000 ACQUIRED DISPOSED
☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Sole Proprietorship ☐ Partnership ☐ Other

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

☐ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (attach a separate sheet if necessary)

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST

Check one box:
☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity or
Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or
City or Other Precise Location of Real Property

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000 / / 08
☐ \$100,001 - \$1,000,000 ACQUIRED DISPOSED
☐ Over \$1,000,000

NATURE OF INTEREST
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership
☐ Leasehold ☐ Other
Yrs. remaining

☐ Check box if additional schedules reporting investments or real property are attached

SCHEDULE B
Interests in Real Property
(Including Rental Income)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

► STREET ADDRESS OR PRECISE LOCATION

CITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/08 ____/_____/08
ACQUIRED DISPOSED
1984

NATURE OF INTEREST

- ☒ Ownership/Deed of Trust ☐ Easement
☐ Leasehold ☐ _____
Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

► STREET ADDRESS OR PRECISE LOCATION

3 Lots in Country Club #1

CITY

Angel Fire, New Mexico

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/08 ____/_____/08
ACQUIRED DISPOSED
1976

NATURE OF INTEREST

- ☒ Ownership/Deed of Trust ☐ Easement
☐ Leasehold ☐ _____
Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

Bank of America, N.A.

ADDRESS

1st Mortgage
San Francisco, CA

BUSINESS ACTIVITY OF LENDER

Mortgage Loan

INTEREST RATE

5 3/8 % ☐ None

TERM (Months/Years)

8 years

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☒ OVER \$100,000
☐ Guarantor, if applicable

NAME OF LENDER*

California Bank & Trust

ADDRESS

2nd Mortgage - HELC
San Francisco, CA

BUSINESS ACTIVITY OF LENDER

Mortgage Loan

INTEREST RATE

Prime + 3% ☐ None

TERM (Months/Years)

10 years

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☒ \$10,001 - \$100,000 ☐ OVER \$100,000
☐ Guarantor, if applicable

Comments: _____

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME
McGuire Financial Svcs. Group

ADDRESS
[REDACTED]

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Financial Planning

YOUR BUSINESS POSITION
None - Spouse

GROSS INCOME RECEIVED

<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> \$1,001 - \$10,000
<input checked="" type="checkbox"/> \$10,001 - \$100,000	<input type="checkbox"/> OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☒ Spouse's or registered domestic partner's income

☐ Loan repayment

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

Wife is Certified Financial Planner

☐ Other _____
(Describe)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME _____

ADDRESS _____

BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

YOUR BUSINESS POSITION _____

GROSS INCOME RECEIVED

<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> \$1,001 - \$10,000
<input type="checkbox"/> \$10,001 - \$100,000	<input type="checkbox"/> OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income

☐ Loan repayment

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD

<input type="checkbox"/> \$500 - \$1,000
<input type="checkbox"/> \$1,001 - \$10,000
<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> OVER \$100,000

INTEREST RATE _____ % ☐ None

TERM (Months/Years) _____

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address _____
City _____

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name _____ _____
--

SCHEDULE D

Income – Gifts

▶ NAME OF SOURCE <i>Breeden Capital Management, LLC</i>		
ADDRESS <i>100 Northfield St. Greenwich CT 06830</i>		
BUSINESS ACTIVITY, IF ANY, OF SOURCE <i>Investment Management</i>		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<i>3/10/08</i>	<i>\$ 80.00</i>	<i>Dinner at Conf,</i>
	\$	
	\$	

▶ NAME OF SOURCE		
ADDRESS		
BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE		
ADDRESS		
BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE		
ADDRESS		
BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE		
ADDRESS		
BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

▶ NAME OF SOURCE		
ADDRESS		
BUSINESS ACTIVITY, IF ANY, OF SOURCE		
DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
	\$	
	\$	
	\$	

Comments: _____
